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JOINT COMMITTEE ON AGENCY RULE REVIEW

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**TO:** Joseph Baker – Director, Common Sense Initiative  
Wendy Zhan – Director, Legislative Service Commission  
Andrea Seidt – Commissioner, Department of Commerce Division of Securities

**FROM:** Ian Dollenmayer – Executive Director, JCARR

**DATE:** August 15, 2023

**RE:** Statutory Notice of CSI Re-Referral per R.C. 106.05(A)

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In accordance with R.C. 106.05, the Joint Committee on Agency Rule Review hereby re-refers the proposed Rule 1301:6-3-09 to the Common Sense Initiative Office on the grounds that the adverse impact this regulation will have on members of the securities industry has not been adequately evaluated, nor has that impact been properly justified by the Division of Securities.

Pursuant to R.C. 106.05(B) and (C), the Common Sense Initiative Office has a maximum of thirty days from the date it receives this memorandum to reevaluate the adverse impact imposed by this proposed rule and to respond back to the Joint Committee on Agency Rule Review accordingly.

**CC:** Rep. Jamie Callender – Chairman, JCARR  
Sen. Theresa Gavarone – Vice Chair, JCARR  
Megan Cummiskey – Assistant Director, Legislative Service Commission  
Roger Patrick – Division Counsel, Department of Commerce  
Travis Ricketts – Majority Policy Advisor, Ohio House  
Marcus Benjamin – Deputy Policy Director, Ohio Senate