

3901-2-01

Application of Chapter 3901-2 of the Administrative Code.

- (A) No domestic stock insurance company subject to division (D) of section 3901.31 of the Revised Code, or any director, officer, or employee of such insurer, or any other person, shall solicit, or permit the use of his name to solicit, by mail or otherwise, any proxy, consent, or authorization with respect to any such class of equity securities in contravention of Chapter 3901-2 of the Administrative Code. A domestic stock insurer which files with the securities and exchange commission with respect to any class of securities forms of proxies, consents, and authorizations complying with the requirements of the Securities Exchange Act of 1934, as amended, and regulation 14 (A) promulgated thereunder, shall be exempt from the provisions of Chapter 3901-2 of the Administrative Code with respect to such class of securities.
- (B) Unless proxies, consents or authorizations in respect of any class of equity securities of a domestic insurer subject to Chapter 3901-2 of the Administrative Code are solicited by or on behalf of the management of such insurer from the holders of record of such securities in accordance with this chapter prior to any annual or other meeting of such security holders, such insurer shall file with the superintendent of insurance and transmit to every security holder who is entitled to vote in regard to any matter to be acted upon at the meeting and from whom a proxy is not solicited a written information statement containing the information specified in rule 3901-2-15 of the Administrative Code.

Replaces: 3901-1-02

R.C. 119.032 review dates: 12/22/2004 and 12/22/2009

CERTIFIED ELECTRONICALLY

Certification

12/22/2004

Date

Promulgated Under: 119.03
Statutory Authority: 3901.041
Rule Amplifies: 3901.31
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